



16367 U.S. PTO

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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032  
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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. 9902-5752.1US

First Inventor Sadwick et al.

Title IMPROVEMENTS TO KLYSTRON-TYPE DEVICES

Express Mail Label No. EV326919536US

APPLICATION ELEMENTS		ADDRESS TO:	
See MPEP chapter 600 concerning utility patent application contents.			
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original and a duplicate for fee processing)</i> 2. <input type="checkbox"/> Applicant claims small entity status. <i>See 37 CFR 1.27.</i> 3. <input checked="" type="checkbox"/> Specification <span style="border: 1px solid black; padding: 0 2px;">[Total Pages 10]</span> <i>(preferred arrangement set forth below)</i> - Descriptive title of the Invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings <i>(if filed)</i> - Detailed Description - Claim(s) - Abstract of the Disclosure		<b>Mail Stop Patent Application</b> <b>Commissioner for Patents</b> <b>P.O. Box 1450</b> <b>Alexandria, VA 22313-1450</b>	
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) <span style="border: 1px solid black; padding: 0 2px;">[Total Sheets 4]</span> 5. Oath or Declaration <span style="border: 1px solid black; padding: 0 2px;">[Total Pages ]</span> a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) <i>(for a continuation/divisional with Box 18 completed)</i> i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).		7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program <i>(Appendix)</i> 8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i> a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies	
<b>ACCOMPANYING APPLICATIONS PARTS</b>			
9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement <input type="checkbox"/> Power of Attorney 11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i> 12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i> 15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i> 16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 17. <input type="checkbox"/> Other: _____			
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP)    of prior application No: _____ / _____ <i>Prior application information:</i> Examiner _____ Group / Art Unit: _____			
For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.			
<b>19. CORRESPONDENCE ADDRESS</b>			
<input checked="" type="checkbox"/> Customer Number		<span style="border: 1px solid black; padding: 0 10px;">24247</span> or <input type="checkbox"/> Correspondence address below	
Name			
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Name (Print/Type)	William S. Britt	Registration No. (Attorney/Agent)	20,969
Signature			Date February 10, 2004

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# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)

471

## Complete if Known

Application Number	To be assigned
Filing Date	February 10, 2004
First Named Inventor	Sadwick et al.
Examiner Name	To be assigned
Art Unit	To be assigned
Attorney Docket No.	9902-5752.1US

## METHOD OF PAYMENT (check all that apply)

 Check  Credit card  Money  Other  None Order
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Deposit Account Number

20-1469

Deposit Account Name

TraskBritt

The Director is authorized to: (check all that apply)

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- Charge any additional fee(s) during the pendency of this application
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- Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION (continued)

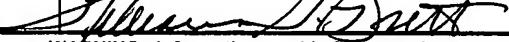
## 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid				
		1051	130	2051	65	Surcharge - late filing fee or oath					
		1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet					
		1053	130	1053	130	Non-English specification					
		1812	2,520	1812	2,520	For filing a request for reexamination					
		1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action					
		1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action					
		1251	110	2251	55	Extension for reply within first month					
		1252	420	2252	210	Extension for reply within second month					
		1253	950	2253	475	Extension for reply within third month					
		1254	1,480	2254	740	Extension for reply within fourth month					
		1255	2,010	2255	1,005	Extension for reply within fifth month					
		1401	330	2401	165	Notice of Appeal					
		1402	330	2402	165	Filing a brief in support of an appeal					
		1403	290	2403	145	Request for oral hearing					
		1451	1,510	1451	1,510	Petition to institute a public use proceeding					
		1452	110	2452	55	Petition to revive – unavoidable					
		1453	1,330	2453	665	Petition to revive – unintentional					
		1501	1,330	2501	665	Utility issue fee (or reissue)					
		1502	480	2502	240	Design issue fee					
		1503	640	2503	320	Plant issue fee					
Total Claims	6	-20 **	= 0	X	= 0	Petitions to the Commissioner					
Independent Claims	5	-3 **	= 2	X 43	= 86	Processing fee under 37 CFR 1.17 (q)					
Multiple Dependent				X	= 0	Submission of Information Disclosure Stmt					
						Recording each patent assignment per property (times number of properties)					
						1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
						1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
						1801	770	2801	385	Request for Continued Examination (RCE)	
						1802	900	1802	900	Request for expedited examination of a design application	
						Other fee (specify)					
						*Reduced by Basic Filing Fee Paid					
						SUBTOTAL (3)					
						(\$ 0)					

\*or number previously paid, if greater; For Reissues, see above

## SUBMITTED BY

## Complete (if applicable)

Name (Print/Type)	William S. Britt	Registration No. (Attorney/Agent)	20,969	Telephone	801-531-1922
Signature			Date	February 10, 2004	

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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